

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

0111716-007

In Re Application Of: Ariathurai, et al.

Serial No.

09/885,812

Filing Date

06/20/01

Examiner

Unknown

Group Art Unit

Unknown

Title: **NETWORK ARCHITECTURE AND MANAGEMENT SYSTEM FOR CONDUCTING INSURANCE
ACTIVITIES ON A NETWORK**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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Title: **NETWORK ARCHITECTURE AND MANAGEMENT SYSTEM FOR CONDUCTING INSURANCE ACTIVITIES ON A NETWORK**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 7/3/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Barbara McGraw

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: July 3, 2001

Renato L. Smith (Reg. No. 45,117)
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ATTORNEY FOR APPLICANTS

CC:



Certificate of Mailing under 37 CFR 1.8

I hereby certify that the following correspondence:

- (1) Transmittal of Information Disclosure Statement;
- (2) Information Disclosure Statement;
- (3) Information Disclosure Citation; and
- (4) Copy of Citations.

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on July 3, 2001

Signature

Barbara McGraw

Typed or printed name of person signing Certificate

0111716--007



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07-09-01
#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
Arjuna A. Ariathurai, et al.)
)
Application No. 09/885,812)
)
Filed: June 20, 2001)
)
For: NETWORK ARCHITECTURE AND)
MANAGEMENT SYSTEM FOR)
CONDUCTING INSURANCE ACTIVITIES)
ON A NETWORK)

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Dear Sir or Madam:

In compliance with 37 C.F.R. 1.56, 37 C.F.R. 1.97. and 37 C.F.R. 1.98, the
following information is submitted for consideration by the Office in the above
application.

U.S. Patents

<u>Patent Number</u>	<u>Inventor</u>	<u>Issue Date</u>
4,831,526	Luchs, et al.	May 16, 1989
5,950,169	Borghesi, et al.	September 7, 1999

Publication

<u>Author</u>	<u>Title/Description</u>	<u>Publication Date</u>
Sintech Software, LLC	Prospector CS Version 2.0 User Manual	1999



Web Sites

<u>Title</u>	<u>Address</u>	<u>Printed</u>
Hubbard Insurance Agency	www.insure.net/hubbard.htm	June 14, 2001
Hunt Insurance Agencies Ltd.	www.huntinsurance.net	June 14, 2001
Safeco Corporation	www.safeco.com	June 14, 2001
Tigerquote Insurance	www.tigerquote.com	June 14, 2001
Citrix Systems, Inc.	www.citrix.com	June 20, 2001
Ebix.com, Inc.	www.ebixasp.com	June 20, 2001

Citation of any reference herein is not to be construed as an admission that the reference disclosed is necessarily within the field of the invention, that the reference disclosed is necessarily prior in time to a particular date which may be relevant to the invention in the present application, that the reference disclosed is material to the patentability of the invention or that the reference disclosed is otherwise necessarily prior art with respect to the instant invention.

Respectfully submitted,

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(Use several sheets if necessary)

0111716-007

09/885,812

06/20/01

Unknown

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

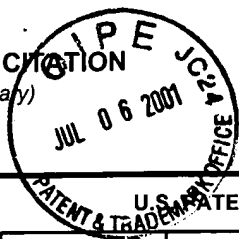
			Tigerquote Insurance, www.tigerquote.com , June 14, 2001
			Citrix Systems, Inc., www.citrix.com , June 20, 2001

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

0111716-007

SERIAL NO.

09/885,812

FILING

06/20/01

GROUP

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Ebix.com, Inc., www.ebixasp.com, June 20, 2001

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.